

UNITED STATES OF AMERICA  
FEDERAL ENERGY REGULATORY COMMISSION

Revisions to Procedural Regulations Governing  
Transportation by Intrastate Pipelines

Docket No. RM12-17-000

Electronic Tariff Filings

Docket No. RM01-5-000

NOTICE OF CHANGES TO ETARIFF PART 284 TYPE OF FILING CODES

(September 6, 2016)

As the Commission recently stated in *Atmos Pipeline – Texas*<sup>1</sup> and *Narragansett Electric Company*,<sup>2</sup> all filings that invoke Part 284 of the Commission’s regulations must be made via eTariff. Take notice that, effective November 7, 2016, the list of available eTariff Type of Filing Codes (TOFC)<sup>3</sup> will be modified as per the Appendix to this notice.<sup>4</sup>

For a more complete guide on filings under Natural Gas Policy Act of 1978 section 311 and Natural Gas Act section 1(c), see <http://www.ferc.gov/industries/gas/gen-info/intrastate-trans.asp> . For further information, contact James Sarikas, Office of Energy Market Regulation at (202) 502-6831 or [James.Sarikas@ferc.gov](mailto:James.Sarikas@ferc.gov) .

Kimberly D. Bose,  
Secretary.

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<sup>1</sup> 156 FERC ¶ 61,094 (2016). State Rate Certification filings should use TOFC 1360, or TOFC 1260 for the optional filing procedures under section 284.123(g).

<sup>2</sup> 155 FERC ¶ 61,159 (2016). A Hinshaw pipeline filing a cost and throughput study should use TOFC 790, or TOFC 1370 for the optional filing procedures under section 284.123(g).

<sup>3</sup> The type of filing business process categories are described in the *Implementation Guide for Electronic Filing of Parts 35, 154, 284, 300, and 341 Tariff Filings* (August 12, 2013), found on the Commission’s website, <http://www.ferc.gov/docs-filing/etariff/implementation-guide.pdf>.

<sup>4</sup> These TOFC were most recently modified in Order No. 781, effective September 30, 2013, which revised Part 284 to provide optional notice procedures. *Revisions to Procedural Regulations Governing Transportation by Intrastate Pipelines*, 144 FERC ¶ 61,034 (2013).

TOFC Type Of Filing Code	Filing Title	Citation	New, Revised, Deleted, or Unchanged	Description of Change
<b><i>Filing with changes to Rates and / or to the Statement of Operating Conditions (SOC)</i></b>				
1300	OPT-State Election, Fuel filing or SOC chg w/o rate chg	284.123(b),(e)+(g)/.224	R	Optional Notice Procedures. Combines TOFC 1280 into 1300. Clarifies all non-fee based; State Rate Election filings, Fuel filings, and / or changes to the Statement of Operating Conditions, should be made using this TOFC. Revised Filing Title and Citation.
1310	OPT-FEE-New or chg in Cost Based Rate	284.123(b)(2)+(e)+(g)/.224	R	Optional Notice Procedures. Clarifies that all fee based, 60 day statutory Cost Based Rate filings, with or without changes to a Statement of Operating Conditions, should be made using this TOFC. Revised Filing Title and Citation.
980	State Election, Fuel filing or SOC chg w/o rate chg	284.123(b),(e)/.224	R	Combines TOFC 770 into 980. Clarifies all non-fee based; State Rate Election filings, Fuel filings, and / or changes to the Statement of Operating Conditions, should be made using this TOFC. Revised Filing Title and Citation.
760	FEE-New or chg in Cost Based Rate	284.123(b)(2)+(e)/.224	R	Clarifies that all fee based, 150 day statutory Cost Based Rate filings, with or without changes to a Statement of Operating Conditions, should be made using this TOFC. Revised Filing Title and Citation.

<b>TOFC Type Of Filing Code</b>	<b>Filing Title</b>	<b>Citation</b>	<b>New, Revised, Deleted, or Unchanged</b>	<b>Description of Change</b>
<b><i>Filing Amendments</i></b>				
1270	OPT-Amendment using optional notice procedure filing	284.123(b),(e)+(g)/.224	R	Optional Notice Procedures. Clarifies that the initial filing and all subsequent filings must have been made as a statutory filing to use this TOFC, e.g., the initial filing must have been made using TOFC 760, 1300, or 1310 and any amendments made using TOFC 1270 or 1350. Revised Filing Title.
1000	Amendment to non-statutory filings	284.123(b),(e)/.224	R	Clarifies that all amendments to non-statutory Rate filings, Fuel filings, and / or changes to the Statement of Operating Conditions, should be made using this TOFC. Revised Filing Title and Citation.
1350	Amendment to statutory filings	284.123(b)(2),(e)/.224	R	Clarifies that the initial filing and all subsequent filings must have been made as a statutory filing to use this TOFC, e.g., the initial filing must have been made using TOFC 760, 1300, or 1310. Revised Filing Title and Citation.
<b><i>Miscellaneous Filings</i></b>				
780	Data Response or Supplement to the record	284.123(b),(e)/.224	R	Revised Filing Title and added a Citation.

<b>TOFC Type Of Filing Code</b>	<b>Filing Title</b>	<b>Citation</b>	<b>New, Revised, Deleted, or Unchanged</b>	<b>Description of Change</b>
790	Compliance filing	284.123(b),(e)/.224	R	Clarifies this TOFC is for making a compliance filing in response to a Commission order, for making a filing without a Tariff Record, or for a Hinshaw pipeline filing a cost and throughput study. Revising the Citation.
1370	OPT-Compliance filing	284.123(b),(e)+(g)/.224	N	Optional Notice Procedures. New TOFC for filing a compliance filing in response to a Commission order, for making a filing without a Tariff Record, or for a Hinshaw pipeline filing a cost and throughput study. A non-statutory filing may not be made statutory by using this TOFC.
1200	Refund Report	284.123(b),(h)/.224	R	Revised the Citation to include refunds that may be required from the withdrawal of an entire filing.
1260	OPT-Certification of Unchg State Rate Election	284.123(b)(1)+(g)(9)(ii)/.224	R	Optional Notice Procedures. Clarifies this is for filing a state rate certification that the pipeline's currently effective rates are based upon its current state approved rates. Revised Filing Title.
1360	Certification of Unchg State Rate Election	284.123(b)(1)/.224	N	New TOFC. This is for filing a state rate certification that the pipeline's currently effective rates are based upon its current state approved rates without a statutory deadline.

<b>TOFC Type Of Filing Code</b>	<b>Filing Title</b>	<b>Citation</b>	<b>New, Revised, Deleted, or Unchanged</b>	<b>Description of Change</b>
1340	FEE-Blanket Certificate Application including a live SOC	284.224/.123	R	Clarifies the filing must include a live baseline Statement of Operating Conditions including a rate election pursuant to §§ 284.123(b) and (e). The rate election may either be a state rate (§284.123(b)(1)) or a cost based rate (§284.123(b)(2)). Revised Filing Title and Citation.
770	Deleted	Deleted	D	TOFC is deleted. Use TOFC 980.
1280	Deleted	Deleted	D	TOFC is deleted. Use TOFC 1300.
<b><i>Baseline Filings only - either an Initial Filing of Statement of Operating Conditions (SOC) or a filing a new (revised) Tariff Title and Tariff ID</i></b>				
1330	OPT-Baseline SOC-w/ State Rate Election or no rate chg	284.123(b),(e)+(g)/224	R	Optional Notice Procedures. Clarifies that all non-fee based, non-statutory Baseline tariff filings using the optional notice procedures should use this TOFC. Revised Filing Title and Citation.
1320	OPT-FEE-Baseline SOC-w/ Cost Based Rate	284.123(b)(2),(e)+(g)/.224	R	Optional Notice Procedures. This is for filing a new baseline Statement of Operating Conditions with a cost based rate. Revised Filing Title and Citation.
990	Baseline SOC-w/ State Rate election or no rate chg	284.123(b),(e)/.224	R	Clarifies that all non-fee based, non-statutory Baseline tariff filings should use this TOFC. Revised Filing Title and Citation.

<b>TOFC Type Of Filing Code</b>	<b>Filing Title</b>	<b>Citation</b>	<b>New, Revised, Deleted, or Unchanged</b>	<b>Description of Change</b>
820	FEE-Baseline SOC-w/ Cost Based Rate	284.123(b)(2),(e)/.224	R	Clarifies that all fee based, 150 day statutory Baseline tariff filings should use this TOFC. Revised Filing Title and Citation.
<b><i>Withdrawal of Filing / Termination of Statement of Conditions (SOC) and / or Blanket Certificate</i></b>				
810	Withdrawal of entire filing	284.123(h)	U	No Change.
1290	OPT-Termination of SOC and/or Blanket Certificate	284.123(e)+(g)/.224	R	Optional Notice Procedures. Revised Filing Title and Citation.
800	Termination of SOC and/or Blanket Certificate	284.123(e)/.224	R	Revised Filing Title.

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